

INFO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ACTION	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13 FEB. 2012					
FILE:	P/000 61494/G (PRODOC)				
LOG No.	12E-00 741				

HOJA DE RUTA



TIPO DE REVISION:	PROYECTO NUEVO	<input checked="" type="checkbox"/>	FONDO/S	26931
	GENERAL	<input type="checkbox"/>	DONANTE/S	SPA
	MANDATORIA	<input type="checkbox"/>	AGENCIA/S IMPLEMENTADORAS	MIN. LITORAL
	SUSTANTIVA	<input type="checkbox"/>	# ACTIVIDADES	1
			MONTO TOTAL	110000,00

PROYECTO NUMERO: 00061494 #AWARD-0004999d
 NOMBRE: REHABILITACION DEL LITORAL LUEGO DE LAS INUNDACIONES

- presentado por:
 formato AWP
 cuadro de responsabilidades
 plan de compras
 plan de trabajo
 cronograma de actividades
 aprobación Finanzas Programa
 revisión Gerencia Operaciones
 aprobación Coordinador Programa
 aprobación Representante Residente

JF
EB
RG
CP
RMV

[Handwritten signatures]

FECHA:
 FECHA:
 FECHA:
 FECHA:

13-06-05
18-06-08

REGISTRO 075

26 JUN 2008 13:05

1. PROPOSAL- APROBACION LUEGO DE PREPAC /CLEARING HOUSE

MINUTA PREPAC:

OFICIAL DE PROGRAMA: APROBADO:

ASISTENTE A CARGO: MFM *[Signature]*

CONTROL RECURSOS REGULARES TRAC				
ANO	APROBADO	AUMENTO/ DISMINUCIO N EN ESTA REVISION	TOTAL INCLUIDA ESTA REVISION	% DE UTILIZACION
2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2005	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2006	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Variación Presupuesto: NO SI

Monto anterior	US \$ 110.000,00
Variación	
Total de presupuesto	US \$ 110.000,00

2 AWARD

FIRMADO POR CONTRAPARTE: FECHA:

FIRMADO POR RR: FECHA:

APROBADO /AWARD MOVED: EB

3 PROYECTO /PRESUPUESTO AL MODULO KK

ENVIADO: EB

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4 COMUNICACIÓN

RESPONSABLE OP

A. AGENCIA:

B. CONTRAPARTE:

PNUD QUITO: FILE PRODOC

OFICIAL DE PROGRAMA

FINANZAS PROGRAMA

COMENTARIOS:

07/may/2008



Programa de las Naciones Unidas para el Desarrollo
Ecuador – Quito

Proyecto: 00061494

Presupuesto (US\$) al: 07-Mayo-2008

Título: Rehabilitación del
Litoral luego de las Inundaciones

Año de Inicio:
2008
Año de Fin:
2009

Donante	Fondos	Valor
SPA	26931 CPR TTF - Disaster	110,000,00
Total Presupuesto 2008		110,000.00
Total Gastos		
Total Proyecto		110,000.00
Contribuciones:		
SPA		110,000.00

Modalidad de Ejecución: NEX
Agente Implementador: MINISTERIO DEL LITORAL
Tipo de Revisión: Revisión Inicial

Justificación:

- Apertura del presupuesto para inicio de actividades en el 2008

Aprobado por:

Carolina Portaluppi
Ministra Coordinadora del Litoral

Fecha

René Mauricio Valdés
Representante Residente UNDP

17/6/08

Fecha



Programa de las Naciones Unidas para el Desarrollo Ecuador – Quito

Proyecto: 00061494

Presupuesto (US\$) al: 07-Mayo-2008
--

Título: Rehabilitación del
Litoral luego de las Inundaciones

Año de Inicio:

2008

Año de Fin:

2009

Donante	Fondos	Valor
SPA	26931 CPR TTF - Disaster	110,000,00
Total Presupuesto 2008		110,000.00
Total Gastos		
Total Proyecto		110,000.00
Contribuciones:		
SPA		110,000.00

Modalidad de Ejecución: NEX

Agente Implementador: MINISTERIO DEL LITORAL

Tipo de Revisión: Revisión Inicial

Justificación:

- Apertura del presupuesto para inicio de actividades en el 2008
--

Aprobado por:

Carolina Portaluppi
Ministra Coordinadora del Litoral

Fecha

René Mauricio Valdés
Representante Residente UNDP

Fecha



Programa de las Naciones Unidas para el Desarrollo Ecuador – Quito

Proyecto: 00061494

Presupuesto (US\$) al: 07-Mayo-2008

Título: Rehabilitación del
Litoral luego de las Inundaciones

Año de Inicio:

2008

Año de Fin:

2009

Donante	Fondos	Valor
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Total Presupuesto 2008		110,000.00
Total Gastos		
Total Proyecto		110,000.00
Contribuciones:		
SPA		110,000.00

Modalidad de Ejecución: NEX
Agente Implementador: MINISTERIO DEL LITORAL
Tipo de Revisión: Revisión Inicial

Justificación:

- Apertura del presupuesto para inicio de actividades en el 2008

Aprobado por:

 Carolina Portaluppi
 Ministra Coordinadora del Litoral

 Fecha

 René Mauricio Valdés
 Representante Residente UNDP

 Fecha



Programa de las Naciones Unidas para el Desarrollo
Ecuador – Quito

Proyecto: 00061494

Presupuesto (US\$) al: 07-Mayo-2008

Título: Rehabilitación del
Litoral luego de las Inundaciones

Año de Inicio:

2008

Año de Fin:

2009

Donante	Fondos	Valor
SPA	26931 CPR TTF - Disaster	110,000,00
Total Presupuesto 2008		110,000.00
Total Gastos		
Total Proyecto		110,000.00
Contribuciones:		
SPA		110,000.00

Modalidad de Ejecución: NEX
Agente Implementador: MINISTERIO DEL LITORAL
Tipo de Revisión: Revisión Inicial

Justificación:

- Apertura del presupuesto para inicio de actividades en el 2008

Aprobado por:

Carolina Portaluppi
Ministra Coordinadora del Litoral

Fecha

René Mauricio Valdés
Representante Residente UNDP

Fecha



31 March 2008

Dear Mr. Valdes,

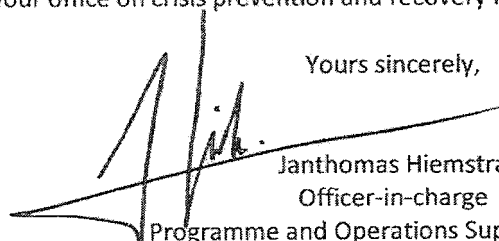
I am pleased to inform you that the BCPR Project Appraisal Committee (BPAC) approved the allocation of a total amount of USD110,000 in support of the project "Rehabilitation of Livelihoods and Local Economic Recovery for the affected families for the 2008 La Nina floods in Ecuador". Funding will be made available from the Disaster Window of the Thematic Trust fund for Crisis Prevention and Recovery. (Atlas Fund code: 26931; Donor code: 00550).

In accordance with our resource management guidelines, you are kindly requested to submit a signed project document, including the Annual Work Plan (AWP), within the next ninety (90) days. BCPR will then establish the authorized spending limit (ASL) in Atlas accordingly. Please ensure that electronic copies of the signed project document, as well as quarterly progress reports, are uploaded into Atlas.

If a signed project document is not received within 90 days, funds will revert back to the original Fund. Please note that BCPR funds must be spent within 12 months from the project approval date. If funds cannot be spent within this period of time, a request for a no-cost extension, together with a justification, should be submitted at least three months in advance of the expiration date.

Please don't hesitate to contact your BCPR regional team (bcpr.lamerica@undp.org), led by Mr. Luis Francisco Thais, for any additional support you might require. We look forward to working with you and your team in supporting your office on crisis prevention and recovery issues.

Yours sincerely,



Janthomas Hiemstra
Officer-in-charge
Programme and Operations Support Cluster
Bureau for Crisis Prevention and Recovery

Mr. Rene Valdes
UN Resident Coordinator
UNDP Resident Representative
Ecuador
cc: BCPR Latin America and the Caribbean Regional Team
Nazim Khizar



**CARTA DE ACUERDO ENTRE EL PNUD Y EL MINISTERIO COORDINADOR DEL LITORAL
PARA LA PROVISION DE SERVICIOS DE APOYO A LA IMPLEMENTACION DEL PROYECTO
"RECUPERACION DE MEDIOS DE VIDA Y ECONOMIAS LOCALES PARA LAS FAMILIAS AFECTADAS
POR LAS INUNDACIONES DEL 2008 EN EL ECUADOR"
EN EL CONTEXTO DE LA EJECUCIÓN NACIONAL.**

1. En el marco del proyecto "Recuperación de medios de vida y economías locales para las familias afectadas por las inundaciones del 2008 en el Ecuador" , el PNUD y el Ministerio Coordinador del Litoral (en adelante la contraparte) manifiestan su acuerdo para que el PNUD provea servicios de apoyo a la implementación del proyecto antes citado, de conformidad a lo estipulado en el documento de proyecto suscrito con fecha 28 de Marzo de 2008.
2. El documento de proyecto (PRODOC) contempla un aporte de costos compartidos del Gobierno Nacional por un valor de USD 660.000, sin embargo estos fondos no se ingresan al PNUD ni se ejecutan en el marco de este proyecto ya que se implementan en forma paralela por parte del Gobierno Nacional.
3. El PNUD suministrará los servicios de apoyo a la implementación sin perjuicio de que la contraparte nacional fortalezca sus capacidades y lleve adelante de forma directa, las actividades descritas en el documento de proyecto.
4. A solicitud de la contraparte nacional, el PNUD puede proveer los siguientes servicios de apoyo a la implementación del proyecto:
 - identificación y reclutamiento de personal
 - adquisición de bienes y servicios
 - identificación y facilitación de actividades de capacitación
5. La adquisición de bienes y servicios y el reclutamiento de personal que realice el PNUD, se sujetará a sus políticas, normas, procedimientos y regulaciones; y, en caso de que los requerimientos del proyecto cambien durante su ciclo de vida, serán revisados y debidamente enmendados de mutuo acuerdo entre las partes.
6. Todos los privilegios e inmunidades tal como se describen en el Acuerdo Básico de Cooperación suscrito entre el PNUD y el Gobierno del Ecuador el 19 de enero de 2005, se aplican a los servicios de apoyo que se describen en la presente carta.
7. Cualquier enmienda o modificación al presente documento será efectuada por escrito y de mutuo acuerdo entre las partes.
8. La presente carta de acuerdo es un anexo que forma parte del documento de proyecto antes referido y no modifica la sustancia del mismo.
9. Para constancia de todo lo estipulado, las partes suscriben esta carta en dos ejemplares de igual tenor.

Por el PNUD
René Mauricio Valdés
Representante Residente

Por el Ministerio Coordinador del Litoral
Carolina Portaluppi
Ministra Coordinadora

Country: Ecuador



Government of Ecuador

United Nations Development Programme

*Rehabilitation of Livelihoods and Local Economic Recovery for the
Affected Families of the 2008 Floods in Ecuador*

7

COMPONENT 1: COVER PAGE

UNDAF Outcome(s)/Indicator(s): The national and local governments count on risk reduction and prevention plans for natural disasters

The United Nations System in Ecuador has just completed its review of the current UNDAF and discussions are underway regarding its new UNDAF for the next five year cycle. Based on these discussions, it is clear that the UN System in Ecuador and the Government prioritize its work on areas directly related to crisis prevention and recovery, in particular disaster risk reduction and recovery with specific related activities incorporated in the new UNDAF. In terms of the current UNDAF, however, the present initiative corresponds to the above-mentioned UNDAF Outcomes.

Expected Outcome(s)/Indicator (s): 4. Crisis Prevention and Recovery
(CPAP outcomes linked to the MYFF goal and service line) 4.5 Natural Disasters Management

Expected Output(s)/Annual Targets: The National and the Local Governments
(CPAP outputs linked to the above CPAP outcome) count on prevention and mitigation plans as well as with disaster risk management capabilities.

Implementing partner: United Nations Development Program - UNDP

Strategic Partners: Ministerio Coordinador del Litoral and the National Planning Office SENPLADES through its regional branch for the costal region

Project Summary

Since January 2008, the heavy rainy season linked with other climate-related hazards have flooded the low lands of the Ecuadorian coast. Soil degradation as a result of sustained deforestation processes due to inadequate hillside and mountain slope agricultural practices has significantly contributed to the current situation given that vegetation acts as natural boarders to this region.

The complete destruction of 64.000 has. in six provinces of the coast and the extensive damage of up to 100.000 additional hectares has resulted in a severe loss of agricultural production, including crops that supply food and the main source of the income to the population in rural areas. Latest estimates suggest that at least 340.000 people have been directly affected by the floods, with a total population of 12.000 still living in shelters.

At this point in time, response to the disaster can still be characterized as in the humanitarian phase since the rain has not stopped, and it is expected to continue for at least two more months. Distribution of basic supplies,

food and medicines is taking place not only amongst those living in shelters but also with those who have decided to not move out from their dwellings and are still surrounded by un-safe water.

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases. They have identified a twofold strategy that will assist the affected population through the fast generation of income (temporary jobs), while assuring a rapid restitution of seeds to supply for the initiation of the next crop cycle. The floods caused the partial or total loss of most of the season crops, and a gap of at least 8 months will exist, should authorities simply wait for the next sowing season.

Therefore, the crop cycle will be foreshortened moving ahead in the organization of groups in the affected communities and the procurement of goods required for the project in the 2 months while the rains stop, 2 months for the flooded areas to recover, land preparation and basic infrastructure ready, and a total of 4 months to have the new seed bank ready to be planted.

As such, anticipating the process through the proposed nurseries the seed bank will be ready in four months and the gap in people's income generation and the food security situation will be minimized in the areas of intervention.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) throughout the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families benefit women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. It is expected that out of the 500 temporary employments that will be created by this project, at least 50 permanent positions will be established per nursery. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from the regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

As pilot and part of a larger initiative that the government is putting together this includes 100 nurseries in the coast and aims at benefiting 5000 families. The 110,000 USD requested will contribute to 660,000 USD that will be provided by the government. The objective is to support temporary income generation and employment activities in 10 Units of Agro-Forestry Training. These 10 Units will be selected from most affected areas and will look into development needs of specific territories will support the employment of 500 people. Of which 50% will be women.

This proposal is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund (approximately 8 million USD) this under the national reforestation program that will be initiated country wide during this first semester.

The project is thus characteristically an early recovery one, as it addresses recovery needs during a humanitarian phase -- preparing for larger recovery projects--while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.

UNDP will ensure a gender focus throughout the different phases of this project, and the incorporation of lessons learned from this first pilot application in the larger governmental initiative. Technical assistance will be provided by members of UNETE, particularly FAO which has advised the design and implementation of this pilot application.

UNCT will provide technical supervision and guidance on the overall recovery strategy.

Objective of the Project.

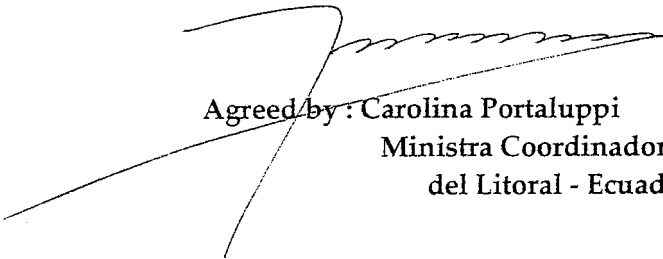
To support the Coordinating Ministry for the Coastal Region to set up a pilot program that would provide temporary employment to at least 100 heads (50% women) of families and contribute to the initiation of a new crop cycle by growing plants in nurseries that will later be transplanted to the field.

Programme Period: 2004-2008
Programme Component: 4.5 Natural Disasters Management
Project Title: Strengthening National and Local Capabilities for Disaster Risk Management in Ecuador
Project ID: _____
Project Duration: 6 months (April 08 – September 08)
Management Arrangement: NEX

Total Budget	USD 780.000
Allocated resources:	
• Government	USD 660.000
• Regular funds	
• Other:	
• BCPR	USD 110.000
• In kind contributions	USD 10.000
• Unfunded budget:	_____

Country: Ecuador

Agreed by: René Mauricio Valdés
Resident Representative



Agreed by: Carolina Portaluppi
Ministra Coordinadora
del Litoral - Ecuador

Quito, 28 March, 2008

COMPONENT 2: ANNUAL WORK PLAN BUDGET SHEET

Annual Work Plan Budget Sheet

Year 2008-2009

EXPECTED OUTPUTS and indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&E to be undertaken during the year towards stated CP outputs</i>	TIMEFRAME				RESPONSIBLE PARTY	Source of Funds	PLANNED BUDGET	
		Q1	Q2	Q3	Q4			Budget Description	Amount
1. Temporary employment, income generation and food security of affected communities restituted.	Design and implementation of 5 pilot nurseries. Seeds and tools provided	x	x			Min Litoral	Government UNDP BCPR	74500 Miscellaneous Expenses	110,000
	Income provided to temporary workers (50% to women)	x	x			Min. Litoral	Government UNDP BCPR	72000 General Operating Expenses	500,000
	Training and Implementation support for 10 Units of Agro-Forestry	x	x	x	x	National Government through Min. Litoral and MAGAP	Government UNDP BCPR		160,000
	Monitoring, evaluation, gender focus	x	x	x	x	Various parties		In Kind	10,000
								TOTAL	780,000

7

COMPONENT 3: MANAGEMENT ARRANGEMENT *(minimum one paragraph, suggested maximum two pages)*

According to NEX modality of execution, the Ministry of the Coastal Region will be responsible for the overall management of the project, regular guidance and assistance will be provided by UNDP this will be used as an opportunity to develop local and regional capabilities to manage this type of programs in the future.

UNDP's standard management regulations for NEX projects will be used during the execution of the project.

COMPONENT 4: MONITORING AND EVALUATION *(minimum one paragraph, suggested maximum two pages)*

The UN Resident Coordinator through the manager of the area of Democratic Governance and the Program Officer in charge of disaster risk management will be in charge of overall program oversight. Regular coordination meetings and field monitoring visits with the national counterparts will be in place to ensure national ownership, progress and overall results are obtained. The Management Unit will ensure monitoring and evaluation of the Program, in accordance with UNDP's NEX and Results Management Guidelines. Implementing partners will be responsible for the direct monitoring of the respective program components.

COMPONENT 5: LEGAL CONTEXT

This document together with the CPAP signed by the Government of the Republic of Ecuador and UNDP signed on January 19, 2005 and published in the Official Bulletin No. 526 of February 17, 2005 which is incorporated by reference constitute together a Project Document as referred to in the SBAA [or other appropriate governing agreement] and all CPAP provisions apply to this document. CPAP was signed between the Government of the Republic of Ecuador and the United Nations Development Program (UNDP) on April 14, 2004 for the cooperation period of 2004-2008.



ANNEX 2

PROPOSAL SUBMISSION SUMMARY FORM (PSF)
For
BUREAU FOR CRISIS PREVENTION AND RECOVERY (BCPR)
PROJECT APPRAISAL COMMITTEE (BPAC)

Date of Submission: March 20, 2008

Country: Ecuador

Project Title: Rehabilitation of Livelihoods and Local Economic Recovery for affected families of the 2008 Floods in Ecuador

Linkage to MYFF/Strategic Plan:

(MYFF Goal) 4. Crisis Prevention and Recovery
4.5 Natural Disasters Management

(UNDAF outcome) The national and local governments count on risk reduction and prevention plans for natural disasters

(Country Programme Outcomes) The National and the Local Governments count on prevention and mitigation plans as well as with disaster risk management capabilities.

Country Office Focal Point: Jeannette Fernandez

Total Program Budget: USD 780.000

Amount Funded by Country Office:

Amount Requested from BCPR: USD 110.000

Other Donors: Government of Ecuador: USD 670.000

Expected Project Duration: 6 months

Executing Agent: UNDP in coordination with UNETE and FAO

Implementing Partner(s): Ministry of the Coastal Region (Min. Litoral), Ministry of Agriculture (MAGAP)



SEE ATTACH THE STANDARD ANNUAL WORK PLAN (AWP) WITH BUDGET DETAILS.

ANNEX 2 (continued)

Proposal Summary:

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases after the flooding episode that affected 13 out of 24 provinces in Ecuador since January of this year. The coordinating Ministry of Litoral (Coastal Areas) has identified a twofold strategy that will assist the affected population through the generation of income while assuring a rapid initiation of the next crop cycle. A gap of at least 8 months already exists caused by the damages to the crop cycle. Anticipating the process through nurseries (Units for Agro-Forestry), the seed bank of plants will be ready in four months and the gap in people's income and food security crisis will be minimized. This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction, for example re-forestation of water basins is one of the results that, will contribute to reducing landslide susceptibility and reduce flooding impact in the low lands.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) through out the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families are women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be

supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

This is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund this under the national reforestation program that will be initiated country wide during this first semester.

Deliverables/Activities that will funded from BCPR Resources:

- Implementation of five Units of Agro-Forestry Training-nurseries-
- Provision of employment and income to 100 heads of families (50% women) through out the next 5 months (from a total of 500 heads being funded by the whole project)
- A document that systematizes and synthesizes lessons learned from the pilot application useful for the implementation of the larger program by the National Government.



ANNEX 2 (continued)

Proposal Submission Checklist (The following reflect areas against which BPAC will be evaluating the proposal):

<p>Does the proposal highlight relevant gender-related activities? If yes, please specify link to the relevant point(s) in the 8-point agenda on gender equality in CPR. (Isolating budgetary requirements for specific gender-related activities is encouraged. If included, please indicate amount)</p>	<p>Yes, UNDP will ensure a gender focus throughout the different phases of this project, particularly by ensuring that 50% of temporary workers respond to women. Thus supporting:</p> <ol style="list-style-type: none"> 1. Expand Women's Participation and Leadership; 2. Promote gender equality in Disaster Risk Reduction, 3. Ensure Gender-responsive recovery and Develop Capacities for Social Change.
<p>Does the proposal include a plan for monitoring and evaluation, and a specific budget line for this component?</p>	<p>Yes, a total of 10,000 USD will be destined to evaluation, which will be conducted by The Ministry of Litoral and MAGAP, In addition, monitoring and overall supervision will be provided by UNETE</p>
<p>Does the proposal include a plan for knowledge management?</p>	<p>Yes, the pilot application will be systematized in order to produce a document that could be useful for the implementation of the larger program by the National Government of Ecuador</p>
<p>Does the proposal clearly identify any risk factors?</p>	<p>Yes, Highest risks relate to the continuation of the rainy season and increased political instability in the country.</p>
<p>Has the Country Office demonstrated a good delivery rate on projects funded from BCPR resources?</p>	<p>Yes, most recent projects supported by BCPR, approved in November 2007, which address disaster risk reduction and recovery. Specifically in the "Assistance for recovery of affected areas due to flooding in Los Rios" and "Recovery to the volcanic eruption in Tungurahua", both with visible results.</p>
<p>Since BCPR funding and support are time-bound, does the Country Office have a plan for integrating results of this project into longer-term interventions, if relevant?</p>	<p>This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction that the Government is putting together. This project will contribute to a larger fund that will be provided by the Ecuadorian government to support temporary income generation and employment activities based in the creation of several Units of Agro-Forestry Training.</p>
<p>Does the program fall within the annual programme plan of the Country Office?</p>	<p>This proposal is submitted in response to the request of the National Government to support recovery needs during the humanitarian phase -- preparing for larger recovery project-</p>



	-while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.
--	--





**CARTA DE ACUERDO ENTRE EL PNUD Y EL MINISTERIO COORDINADOR DEL LITORAL
PARA LA PROVISION DE SERVICIOS DE APOYO A LA IMPLEMENTACION DEL PROYECTO
“RECUPERACION DE MEDIOS DE VIDA Y ECONOMIAS LOCALES PARA LAS FAMILIAS AFECTADAS
POR LAS INUNDACIONES DEL 2008 EN EL ECUADOR”
EN EL CONTEXTO DE LA EJECUCIÓN NACIONAL.**

1. En el marco del proyecto “Recuperación de medios de vida y economías locales para las familias afectadas por las inundaciones del 2008 en el Ecuador” , el PNUD y el Ministerio Coordinador del Litoral (en adelante la contraparte) manifiestan su acuerdo para que el PNUD provea servicios de apoyo a la implementación del proyecto antes citado, de conformidad a lo estipulado en el documento de proyecto suscrito con fecha 28 de Marzo de 2008.
2. El documento de proyecto (PRODOC) contempla un aporte de costos compartidos del Gobierno Nacional por un valor de USD 660.000, sin embargo estos fondos no se ingresan al PNUD ni se ejecutan en el marco de este proyecto ya que se implementan en forma paralela por parte del Gobierno Nacional.
3. El PNUD suministrará los servicios de apoyo a la implementación sin perjuicio de que la contraparte nacional fortalezca sus capacidades y lleve adelante de forma directa, las actividades descritas en el documento de proyecto.
4. A solicitud de la contraparte nacional, el PNUD puede proveer los siguientes servicios de apoyo a la implementación del proyecto:
 - identificación y reclutamiento de personal
 - adquisición de bienes y servicios
 - identificación y facilitación de actividades de capacitación
5. La adquisición de bienes y servicios y el reclutamiento de personal que realice el PNUD, se sujetará a sus políticas, normas, procedimientos y regulaciones; y, en caso de que los requerimientos del proyecto cambien durante su ciclo de vida, serán revisados y debidamente enmendados de mutuo acuerdo entre las partes.
6. Todos los privilegios e inmunidades tal como se describen en el Acuerdo Básico de Cooperación suscrito entre el PNUD y el Gobierno del Ecuador el 19 de enero de 2005, se aplican a los servicios de apoyo que se describen en la presente carta.
7. Cualquier enmienda o modificación al presente documento será efectuada por escrito y de mutuo acuerdo entre las partes.
8. La presente carta de acuerdo es un anexo que forma parte del documento de proyecto antes referido y no modifica la sustancia del mismo.
9. Para constancia de todo lo estipulado, las partes suscriben esta carta en dos ejemplares de igual tenor.

Por el PNUD
René Mauricio Valdés
Representante Residente

Por el Ministerio Coordinador del Litoral
Carolina Portaluppi
Ministra Coordinadora

Country: Ecuador



Government of Ecuador

United Nations Development Programme

*Rehabilitation of Livelihoods and Local Economic Recovery for the
Affected Families of the 2008 Floods in Ecuador*

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COMPONENT 1: COVER PAGE

UNDAF Outcome(s)/Indicator(s): The national and local governments count on risk reduction and prevention plans for natural disasters

The United Nations System in Ecuador has just completed its review of the current UNDAF and discussions are underway regarding its new UNDAF for the next five year cycle. Based on these discussions, it is clear that the UN System in Ecuador and the Government prioritize its work on areas directly related to crisis prevention and recovery, in particular disaster risk reduction and recovery with specific related activities incorporated in the new UNDAF. In terms of the current UNDAF, however, the present initiative corresponds to the above-mentioned UNDAF Outcomes.

Expected Outcome(s)/Indicator (s): 4. Crisis Prevention and Recovery
(CPAP outcomes linked to the MYFF goal and service line) 4.5 Natural Disasters Management

Expected Output(s)/Annual Targets: The National and the Local Governments count on prevention and mitigation plans as well as with disaster risk management capabilities.
(CPAP outputs linked to the above CPAP outcome)

Implementing partner: United Nations Development Program -UNDP

Strategic Partners: Ministerio Coordinador del Litoral and the National Planning Office SENPLADES through its regional branch for the costal region

Project Summary

Since January 2008, the heavy rainy season linked with other climate-related hazards have flooded the low lands of the Ecuadorian coast. Soil degradation as a result of sustained deforestation processes due to inadequate hillside and mountain slope agricultural practices has significantly contributed to the current situation given that vegetation acts as natural boarders to this region.

The complete destruction of 64.000 has. in six provinces of the coast and the extensive damage of up to 100.000 additional hectares has resulted in a severe loss of agricultural production, including crops that supply food and the main source of the income to the population in rural areas. Latest estimates suggest that at least 340.000 people have been directly affected by the floods, with a total population of 12.000 still living in shelters.

At this point in time, response to the disaster can still be characterized as in the humanitarian phase since the rain has not stopped, and it is expected to continue for at least two more months. Distribution of basic supplies,

food and medicines is taking place not only amongst those living in shelters but also with those who have decided to not move out from their dwellings and are still surrounded by un-safe water.

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases. They have identified a twofold strategy that will assist the affected population through the fast generation of income (temporary jobs), while assuring a rapid restitution of seeds to supply for the initiation of the next crop cycle. The floods caused the partial or total loss of most of the season crops, and a gap of at least 8 months will exist, should authorities simply wait for the next sowing season.

Therefore, the crop cycle will be foreshortened moving ahead in the organization of groups in the affected communities and the procurement of goods required for the project in the 2 months while the rains stop, 2 months for the flooded areas to recover, land preparation and basic infrastructure ready, and a total of 4 months to have the new seed bank ready to be planted.

As such, anticipating the process through the proposed nurseries the seed bank will be ready in four months and the gap in people's income generation and the food security situation will be minimized in the areas of intervention.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) throughout the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families benefit women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. It is expected that out of the 500 temporary employments that will be created by this project, at least 50 permanent positions will be established per nursery. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from the regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

As pilot and part of a larger initiative that the government is putting together this includes 100 nurseries in the coast and aims at benefiting 5000 families. The 110,000 USD requested will contribute to 660,000 USD that will be provided by the government. The objective is to support temporary income generation and employment activities in 10 Units of Agro-Forestry Training. These 10 Units will be selected from most affected areas and will look into development needs of specific territories will support the employment of 500 people. Of which 50% will be women.

This proposal is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund (aproximatly 8 million USD) this under the national reforestation program that will be initiated country wide during this first semester.

The project is thus characteristically an early recovery one, as it addresses recovery needs during the humanitarian phase -- preparing for larger recovery projects—while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.

UNDP will ensure a gender focus throughout the different phases of this project, and the incorporation of lessons learned from this first pilot application in the larger governmental initiative. Technical assistance will be provided by members of UNETE, particularly FAO which has advised the design and implementation of this pilot application.

UNCT will provide technical supervision and guidance on the overall recovery strategy.

Objective of the Project.

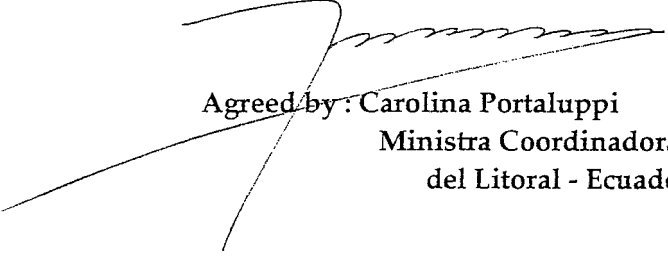
To support the Coordinating Ministry for the Coastal Region to set up a pilot program that would provide temporary employment to at least 100 heads (50% women) of families and contribute to the initiation of a new crops cycle by growing plants in nurseries that will later be transplanted to the field.

Programme Period: 2004-2008
Programme Component: 4.5 Natural Disasters Management
Project Title: Strengthening National and Local Capabilities for Disaster Risk Management in Ecuador
Project ID: _____
Project Duration: 6 months (April 08 – September 08)
Management Arrangement: NEX

Total Budget	USD 780.000
Allocated resources:	
• Government	USD 660.000
• Regular funds	
• Other:	
• BCPR	USD 110.000
• In kind contributions	USD 10.000
• Unfunded budget:	_____

Country: Ecuador

Agreed by: René Mauricio Valdés
Resident Representative



Agreed by: Carolina Portaluppi
Ministra Coordinadora
del Litoral - Ecuador

Quito, 28 March, 2008

COMPONENT 2: ANNUAL WORK PLAN BUDGET SHEET

Annual Work Plan Budget Sheet

Year 2008-2009

EXPECTED OUTPUTS and indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&E to be undertaken during the year towards stated CP outputs</i>	TIMERRAME				RESPONSI BLF PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Source of Funds	Budget Description Amount	
1. Temporary employment, income generation and food security of affected communities restituted.	Design and implementation of 5 pilot nurseries. Seeds and tools provided	x	x			Min Litoral	Government UNDP BCPR	74500 Miscellaneous Expenses	110.000
	Income provided to temporary workers (50% to women)	x	x			Min. Litoral	Government UNDP BCPR	72000 General Operating Expenses	500.000
	Training and Implementation support for 10 Units of Agro-Forestry	x	x	x	x	National Governmen t through Min. Litoral and MAGAP	Government UNDP BCPR		160.000
	Monitoring, evaluation, gender focus	x	x	x	x	Various parties		In Kind	10.000
							TOTAL		780.000

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Project document for projects outside a CPAP

COMPONENT 3: MANAGEMENT ARRANGEMENT *(minimum one paragraph, suggested maximum two pages)*

According to NEX modality of execution, the Ministry of the Coastal Region will be responsible for the overall management of the project, regular guidance and assistance will be provided by UNDP this will used as an opportunity to develop local and regional capabilities to manage this type of programs in the future.

UNDP's standard management regulations for NEX projects will be used during the execution of the project.

COMPONENT 4: MONITORING AND EVALUATION *(minimum one paragraph, suggested maximum two pages)*

The UN Resident Coordinator through the manager of the area of Democratic Governance and the Program Officer in charge of disaster risk management will be in charge of overall program oversight. Regular coordination meetings and field monitoring visits with the national counterparts will be in place to ensure national ownership, progress and overall results are obtained. The Management Unit will ensure monitoring and evaluation of the Program, in accordance with UNDP's NEX and Results Management Guidelines. Implementing partners will be responsible for the direct monitoring of the respective program components.

COMPONENT 5: LEGAL CONTEXT

This document together with the CPAP signed by the Government of the Republic o Ecuador and UNDP signed on January 19, 2005 and published in the Official Bulletin No. 526 of February 17, 2005 which is incorporated by reference constitute together a Project Document as referred to in the SBAA [or other appropriate governing agreement] and all CPAP provisions apply to this document. CPAP was signed between the Government of the Republic of Ecuador and the United Nations Development Program (UNDP) on April 14, 2004 for the cooperation period of 2004-2008.



ANNEX 2

PROPOSAL SUBMISSION SUMMARY FORM (PSF)
For
BUREAU FOR CRISIS PREVENTION AND RECOVERY (BCPR)
PROJECT APPRAISAL COMMITTEE (BPAC)

Date of Submission: March 20, 2008

Country: Ecuador

Project Title: Rehabilitation of Livelihoods and Local Economic Recovery for affected families of the 2008 Floods in Ecuador

Linkage to MYFF/Strategic Plan:

(MYFF Goal) 4. Crisis Prevention and Recovery
4.5 Natural Disasters Management

(UNDAF outcome) The national and local governments count on risk reduction and prevention plans for natural disasters

(Country Programme Outcomes) The National and the Local Governments count on prevention and mitigation plans as well as with disaster risk management capabilities.

Country Office Focal Point: Jeannette Fernandez

Total Program Budget: USD 780.000

Amount Funded by Country Office:

Amount Requested from BCPR: USD 110.000

Other Donors: Government of Ecuador: USD 670.000

Expected Project Duration: 6 months

Executing Agent: UNDP in coordination with UNETE and FAO

Implementing Partner(s): Ministry of the Coastal Region (Min. Litoral), Ministry of Agriculture (MAGAP)



SEE ATTACH THE STANDARD ANNUAL WORK PLAN (AWP) WITH BUDGET DETAILS.

ANNEX 2 (continued)

Proposal Summary:

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases after the flooding episode that affected 13 out of 24 provinces in Ecuador since January of this year. The coordinating Ministry of Litoral (Coastal Areas) has identified a twofold strategy that will assist the affected population through the generation of income while assuring a rapid initiation of the next crop cycle. A gap of at least 8 months already exists caused by the damages to the crop cycle. Anticipating the process through nurseries (Units for Agro-Forestry), the seed bank of plants will be ready in four months and the gap in people's income and food security crisis will be minimized. This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction, for example re-forestation of water basins is one of the results that, will contribute to reducing landslide susceptibility and reduce flooding impact in the low lands.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) through out the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families are women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be



supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

This is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund this under the national reforestation program that will be initiated country wide during this first semester.

Deliverables/Activities that will funded from BCPR Resources:

- Implementation of five Units of Agro-Forestry Training-nurseries-
- Provision of employment and income to 100 heads of families (50% women) through out the next 5 months (from a total of 500 heads being funded by the whole project)
- A document that systematizes and synthesizes lessons learned from the pilot application useful for the implementation of the larger program by the National Government.



ANNEX 2 (continued)

Proposal Submission Checklist (The following reflect areas against which BPAC will be evaluating the proposal):

<p>Does the proposal highlight relevant gender-related activities? If yes, please specify link to the relevant point(s) in the 8-point agenda on gender equality in CPR. (Isolating budgetary requirements for specific gender-related activities is encouraged. If included, please indicate amount)</p>	<p>Yes, UNDP will ensure a gender focus throughout the different phases of this project, particularly by ensuring that 50% of temporary workers respond to women. Thus supporting:</p> <ol style="list-style-type: none"> 1. Expand Women's Participation and Leadership; 2. Promote gender equality in Disaster Risk Reduction, 3. Ensure Gender-responsive recovery and Develop Capacities for Social Change.
<p>Does the proposal include a plan for monitoring and evaluation, and a specific budget line for this component?</p>	<p>Yes, a total of 10,000 USD will be destined to evaluation, which will be conducted by The Ministry of Litoral and MAGAP, In addition, monitoring and overall supervision will be provided by UNETE</p>
<p>Does the proposal include a plan for knowledge management?</p>	<p>Yes, the pilot application will be systematized in order to produce a document that could be useful for the implementation of the larger program by the National Government of Ecuador</p>
<p>Does the proposal clearly identify any risk factors?</p>	<p>Yes, Highest risks relate to the continuation of the rainy season and increased political instability in the country.</p>
<p>Has the Country Office demonstrated a good delivery rate on projects funded from BCPR resources?</p>	<p>Yes, most recent projects supported by BCPR, approved in November 2007, which address disaster risk reduction and recovery. Specifically in the "Assistance for recovery of affected areas due to flooding in Los Rios" and "Recovery to the volcanic eruption in Tungurahua", both with visible results.</p>
<p>Since BCPR funding and support are time-bound, does the Country Office have a plan for integrating results of this project into longer-term interventions, if relevant?</p>	<p>This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction that the Government is putting together. This project will contribute to a larger fund that will be provided by the Ecuadorian government to support temporary income generation and employment activities based in the creation of several Units of Agro-Forestry Training.</p>
<p>Does the program fall within the annual programme plan of the Country Office?</p>	<p>This proposal is submitted in response to the request of the National Government to support recovery needs during the humanitarian phase -- preparing for larger recovery project-</p>



	-while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.
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Country: Ecuador



Government of Ecuador

United Nations Development Programme

*Rehabilitation of Livelihoods and Local Economic Recovery for the
Affected Families of the 2008 Floods in Ecuador*

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COMPONENT 1: COVER PAGE

UNDAF Outcome(s)/Indicator(s): The national and local governments count on risk reduction and prevention plans for natural disasters

The United Nations System in Ecuador has just completed its review of the current UNDAF and discussions are underway regarding its new UNDAF for the next five year cycle. Based on these discussions, it is clear that the UN System in Ecuador and the Government prioritize its work on areas directly related to crisis prevention and recovery, in particular disaster risk reduction and recovery with specific related activities incorporated in the new UNDAF. In terms of the current UNDAF, however, the present initiative corresponds to the above-mentioned UNDAF Outcomes.

Expected Outcome(s)/Indicator (s): 4. Crisis Prevention and Recovery
(CPAP outcomes linked to the MYFF goal and service line) 4.5 Natural Disasters Management

Expected Output(s)/Annual Targets: The National and the Local Governments
(CPAP outputs linked to the above CPAP outcome) count on prevention and mitigation plans as well as with disaster risk management capabilities.

Implementing partner: United Nations Development Program -UNDP

Strategic Partners: Ministerio Coordinador del Litoral and the National Planning Office SENPLADES through its regional branch for the costal region

Project Summary

Since January 2008, the heavy rainy season linked with other climate-related hazards have flooded the low lands of the Ecuadorian coast. Soil degradation as a result of sustained deforestation processes due to inadequate hillside and mountain slope agricultural practices has significantly contributed to the current situation given that vegetation acts as natural boarders to this region.

The complete destruction of 64.000 has. in six provinces of the coast and the extensive damage of up to 100.000 additional hectares has resulted in a severe loss of agricultural production, including crops that supply food and the main source of the income to the population in rural areas. Latest estimates suggest that at least 340.000 people have been directly affected by the floods, with a total population of 12.000 still living in shelters.

At this point in time, response to the disaster can still be characterized as in the humanitarian phase since the rain has not stopped, and it is expected to continue for at least two more months. Distribution of basic supplies,

food and medicines is taking place not only amongst those living in shelters but also with those who have decided to not move out from their dwellings and are still surrounded by un-safe water.

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases. They have identified a twofold strategy that will assist the affected population through the fast generation of income (temporary jobs), while assuring a rapid restitution of seeds to supply for the initiation of the next crop cycle. The floods caused the partial or total loss of most of the season crops, and a gap of at least 8 months will exist, should authorities simply wait for the next sowing season.

Therefore, the crop cycle will be foreshortened moving ahead in the organization of groups in the affected communities and the procurement of goods required for the project in the 2 months while the rains stop, 2 months for the flooded areas to recover, land preparation and basic infrastructure ready, and a total of 4 months to have the new seed bank ready to be planted.

As such, anticipating the process through the proposed nurseries the seed bank will be ready in four months and the gap in people's income generation and the food security situation will be minimized in the areas of intervention.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) throughout the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families benefit women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. It is expected that out of the 500 temporary employments that will be created by this project, at least 50 permanent positions will be established per nursery. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from the regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

As pilot and part of a larger initiative that the government is putting together this includes 100 nurseries in the coast and aims at benefiting 5000 families. The 110,000 USD requested will contribute to 660,000 USD that will be provided by the government. The objective is to support temporary income generation and employment activities in 10 Units of Agro-Forestry Training. These 10 Units will be selected from most affected areas and will look into development needs of specific territories will support the employment of 500 people. Of which 50% will be women.

This proposal is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund (aproximatly 8 million USD) this under the national reforestation program that will be initiated country wide during this first semester.

The project is thus characteristically an early recovery one, as it addresses recovery needs during a humanitarian phase -- preparing for larger recovery projects—while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.

UNDP will ensure a gender focus throughout the different phases of this project, and the incorporation of lessons learned from this first pilot application in the larger governmental initiative. Technical assistance will be provided by members of UNETE, particularly FAO which has advised the design and implementation of this pilot application.

UNCT will provide technical supervision and guidance on the overall recovery strategy.

Objective of the Project.

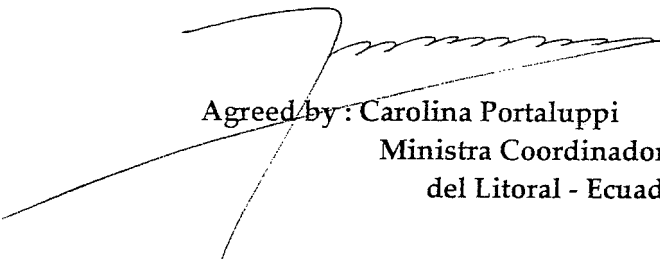
To support the Coordinating Ministry for the Coastal Region to set up a pilot program that would provide temporary employment to at least 100 heads (50% women) of families and contribute to the initiation of a new crops cycle by growing plants in nurseries that will later be transplanted to the field.

Programme Period: 2004-2008
Programme Component: 4.5 Natural Disasters Management
Project Title: Strengthening National and Local Capabilities for Disaster Risk Management in Ecuador
Project ID: _____
Project Duration: 6 months (April 08 – September 08)
Management Arrangement: NEX

Total Budget	USD 780.000
Allocated resources:	
• Government	USD 660.000
• Regular funds	
• Other:	
• BCPR	USD 110.000
• In kind contributions	USD 10.000
• Unfunded budget:	_____

Country: Ecuador

Agreed by: René Mauricio Valdés
Resident Representative



Agreed by: Carolina Portaluppi
Ministra Coordinadora
del Litoral - Ecuador

Quito, 28 March, 2008

COMPONENT 2: ANNUAL WORK PLAN BUDGET SHEET

Annual Work Plan Budget Sheet

Year 2008-2009

EXPECTED OUTPUTS and indicators including annual targets	PLANNED ACTIVITIES <i>List all activities including M&E to be undertaken during the year towards stated CP outputs.</i>	TIMEFRAME				RESPONSIBLE BLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4		Source of Funds	Budget Description	Amount
1. Temporary employment, income generation and food security of affected communities restituted.	Design and implementation of 5 pilot nurseries. Seeds and tools provided	x	x			Min Litoral	Government UNDP BCPR	74500 Miscellaneous Expenses	110.000
	Income provided to temporary workers (50% to women)	x	x			Min. Litoral	Government UNDP BCPR	72000 General Operating Expenses	500.000
	Training and Implementation support for 10 Units of Agro-Forestry	x	x	x	x	National Government through Min. Litoral and MAGAP	Government UNDP BCPR		160.000
	Monitoring, evaluation, gender focus	x	x	x	x	Various parties		In Kind	10.000
							TOTAL		780.000

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Project document for projects outside a CPAP

COMPONENT 3: MANAGEMENT ARRANGEMENT *(minimum one paragraph, suggested maximum two pages)*

According to NEX modality of execution, the Ministry of the Coastal Region will be responsible for the overall management of the project, regular guidance and assistance will be provided by UNDP this will be used as an opportunity to develop local and regional capabilities to manage this type of programs in the future.

UNDP's standard management regulations for NEX projects will be used during the execution of the project.

COMPONENT 4: MONITORING AND EVALUATION *(minimum one paragraph, suggested maximum two pages)*

The UN Resident Coordinator through the manager of the area of Democratic Governance and the Program Officer in charge of disaster risk management will be in charge of overall program oversight. Regular coordination meetings and field monitoring visits with the national counterparts will be in place to ensure national ownership, progress and overall results are obtained. The Management Unit will ensure monitoring and evaluation of the Program, in accordance with UNDP's NEX and Results Management Guidelines. Implementing partners will be responsible for the direct monitoring of the respective program components.

COMPONENT 5: LEGAL CONTEXT

This document together with the CPAP signed by the Government of the Republic of Ecuador and UNDP signed on January 19, 2005 and published in the Official Bulletin No. 526 of February 17, 2005 which is incorporated by reference constitute together a Project Document as referred to in the SBAA [or other appropriate governing agreement] and all CPAP provisions apply to this document. CPAP was signed between the Government of the Republic of Ecuador and the United Nations Development Program (UNDP) on April 14, 2004 for the cooperation period of 2004-2008.



ANNEX 2

PROPOSAL SUBMISSION SUMMARY FORM (PSF)
For
BUREAU FOR CRISIS PREVENTION AND RECOVERY (BCPR)
PROJECT APPRAISAL COMMITTEE (BPAC)

Date of Submission: March 20, 2008

Country: Ecuador

Project Title: Rehabilitation of Livelihoods and Local Economic Recovery for affected families of the 2008 Floods in Ecuador

Linkage to MYFF/Strategic Plan:

(MYFF Goal) 4. Crisis Prevention and Recovery
4.5 Natural Disasters Management

(UNDAF outcome) The national and local governments count on risk reduction and prevention plans for natural disasters

(Country Programme Outcomes) The National and the Local Governments count on prevention and mitigation plans as well as with disaster risk management capabilities.

Country Office Focal Point: Jeannette Fernandez

Total Program Budget: USD 780.000

Amount Funded by Country Office:

Amount Requested from BCPR: USD 110.000

Other Donors: Government of Ecuador: USD 670.000

Expected Project Duration: 6 months

Executing Agent: UNDP in coordination with UNETE and FAO

Implementing Partner(s): Ministry of the Coastal Region (Min. Litoral), Ministry of Agriculture (MAGAP)



SEE ATTACH THE STANDARD ANNUAL WORK PLAN (AWP) WITH BUDGET DETAILS.

ANNEX 2 (continued)

Proposal Summary:

The Coordinating Ministry for the Coastal Region (Ministerio Coordinador para el Litoral) is in charge of the overall coordination of both the humanitarian and post-disaster recovery phases after the flooding episode that affected 13 out of 24 provinces in Ecuador since January of this year. The coordinating Ministry of Litoral (Coastal Areas) has identified a twofold strategy that will assist the affected population through the generation of income while assuring a rapid initiation of the next crop cycle. A gap of at least 8 months already exists caused by the damages to the crop cycle. Anticipating the process through nurseries (Units for Agro-Forestry), the seed bank of plants will be ready in four months and the gap in people's income and food security crisis will be minimized. This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction, for example re-forestation of water basins is one of the results that, will contribute to reducing landslide susceptibility and reduce flooding impact in the low lands.

Under this framework, UNDP has been requested to contribute to this initiative by supporting the implementation of a pilot project that will be progressively replicated in at least five of the most affected provinces. This project will:

1. Create income generating opportunities, which will assure temporary employment for 500 heads of families (men and women) through out the next 5 months. Mechanisms will be put in place to assure that 50% of the heads of families are women.
2. Implement 10 nurseries (Units for Agro-Forestry Training) to grow 250.000 plants including agricultural and non-agricultural varieties that will be transplanted once the rain has stopped. These plants will be used to protect hydrographic/water basins and also to commence the production of agricultural trees for food provision. Affirmative action policies will be put in place to ensure equal opportunities for women.
3. Ensure that a gender focus is mainstreamed throughout the different phases of this project, a thorough localized gender analysis and strategy will be developed with funds from regular program.

This proposal is part of a wider and comprehensive approach to Recovery, taking fast and high impact actions in short term projects, contained in the National Recovery Plan. The Recovery needs evolve fast in a highly dynamic environment; therefore, this project constitutes the first step into a wider range of recovery efforts in which UNDP will be



supporting the Government of Ecuador. In the long run, the results of this intervention will have effects in reducing vulnerabilities in rural communities with reforestation of water basins, a big challenge to be addressed by the government in order to reduce future disasters, floods and landslides that cause displaced communities and increasing economic losses that have huge setbacks in the countries development efforts.

This is a pilot project that the Government is committing to replicate; expectation is reaching at least 100 Units, which would be equivalent to generating 5000 jobs. The National Government would fund this under the national reforestation program that will be initiated country wide during this first semester.

Deliverables/Activities that will funded from BCPR Resources:

- Implementation of five Units of Agro-Forestry Training-nurseries-
- Provision of employment and income to 100 heads of families (50% women) through out the next 5 months (from a total of 500 heads being funded by the whole project)
- A document that systematizes and synthesizes lessons learned from the pilot application useful for the implementation of the larger program by the National Government.



ANNEX 2 (continued)

Proposal Submission Checklist (The following reflect areas against which BPAC will be evaluating the proposal):

<p>Does the proposal highlight relevant gender-related activities? If yes, please specify link to the relevant point(s) in the 8-point agenda on gender equality in CPR. (Isolating budgetary requirements for specific gender-related activities is encouraged. If included, please indicate amount)</p>	<p>Yes, UNDP will ensure a gender focus throughout the different phases of this project, particularly by ensuring that 50% of temporary workers respond to women. Thus supporting:</p> <ol style="list-style-type: none"> 1. Expand Women's Participation and Leadership; 2. Promote gender equality in Disaster Risk Reduction, 3. Ensure Gender-responsive recovery and Develop Capacities for Social Change.
<p>Does the proposal include a plan for monitoring and evaluation, and a specific budget line for this component?</p>	<p>Yes, a total of 10,000 USD will be destined to evaluation, which will be conducted by The Ministry of Litoral and MAGAP, In addition, monitoring and overall supervision will be provided by UNETE</p>
<p>Does the proposal include a plan for knowledge management?</p>	<p>Yes, the pilot application will be systematized in order to produce a document that could be useful for the implementation of the larger program by the National Government of Ecuador</p>
<p>Does the proposal clearly identify any risk factors?</p>	<p>Yes, Highest risks relate to the continuation of the rainy season and increased political instability in the country.</p>
<p>Has the Country Office demonstrated a good delivery rate on projects funded from BCPR resources?</p>	<p>Yes, most recent projects supported by BCPR, approved in November 2007, which address disaster risk reduction and recovery. Specifically in the "Assistance for recovery of affected areas due to flooding in Los Rios" and "Recovery to the volcanic eruption in Tungurahua", both with visible results.</p>
<p>Since BCPR funding and support are time-bound, does the Country Office have a plan for integrating results of this project into longer-term interventions, if relevant?</p>	<p>This proposal is part of a wider and comprehensive approach that addresses early recovery and disaster risk reduction that the Government is putting together. This project will contribute to a larger fund that will be provided by the Ecuadorian government to support temporary income generation and employment activities based in the creation of several Units of Agro-Forestry Training.</p>
<p>Does the program fall within the annual programme plan of the Country Office?</p>	<p>This proposal is submitted in response to the request of the National Government to support recovery needs during the humanitarian phase -- preparing for larger recovery project-</p>



	-while at the same time providing humanitarian assistance to affected populations to maintain and recover their livelihoods.
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**CARTA DE ACUERDO ENTRE EL PNUD Y EL MINISTERIO COORDINADOR DEL LITORAL
PARA LA PROVISION DE SERVICIOS DE APOYO A LA IMPLEMENTACION DEL PROYECTO
“RECUPERACION DE MEDIOS DE VIDA Y ECONOMIAS LOCALES PARA LAS FAMILIAS AFECTADAS
POR LAS INUNDACIONES DEL 2008 EN EL ECUADOR”
EN EL CONTEXTO DE LA EJECUCIÓN NACIONAL.**

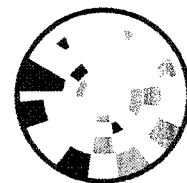
1. En el marco del proyecto “Recuperación de medios de vida y economías locales para las familias afectadas por las inundaciones del 2008 en el Ecuador” , el PNUD y el Ministerio Coordinador del Litoral (en adelante la contraparte) manifiestan su acuerdo para que el PNUD provea servicios de apoyo a la implementación del proyecto antes citado, de conformidad a lo estipulado en el documento de proyecto suscrito con fecha 28 de Marzo de 2008.
2. El documento de proyecto (PRODOC) contempla un aporte de costos compartidos del Gobierno Nacional por un valor de USD 660.000, sin embargo estos fondos no se ingresan al PNUD ni se ejecutan en el marco de este proyecto ya que se implementan en forma paralela por parte del Gobierno Nacional.
3. El PNUD suministrará los servicios de apoyo a la implementación sin perjuicio de que la contraparte nacional fortalezca sus capacidades y lleve adelante de forma directa, las actividades descritas en el documento de proyecto.
4. A solicitud de la contraparte nacional, el PNUD puede proveer los siguientes servicios de apoyo a la implementación del proyecto:
 - identificación y reclutamiento de personal
 - adquisición de bienes y servicios
 - identificación y facilitación de actividades de capacitación
5. La adquisición de bienes y servicios y el reclutamiento de personal que realice el PNUD, se sujetará a sus políticas, normas, procedimientos y regulaciones; y, en caso de que los requerimientos del proyecto cambien durante su ciclo de vida, serán revisados y debidamente enmendados de mutuo acuerdo entre las partes.
6. Todos los privilegios e inmunidades tal como se describen en el Acuerdo Básico de Cooperación suscrito entre el PNUD y el Gobierno del Ecuador el 19 de enero de 2005, se aplican a los servicios de apoyo que se describen en la presente carta.
7. Cualquier enmienda o modificación al presente documento será efectuada por escrito y de mutuo acuerdo entre las partes.
8. La presente carta de acuerdo es un anexo que forma parte del documento de proyecto antes referido y no modifica la sustancia del mismo.
9. Para constancia de todo lo estipulado, las partes suscriben esta carta en dos ejemplares de igual tenor.

Por el PNUD
René Mauricio Valdés
Representante Residente

Por el Ministerio Coordinador del Litoral
Carolina Portaluppi
Ministra Coordinadora

OFICIO No. ML-DM-2008- 561

Guayaquil, 09 de junio de 2008



**MINISTERIO
DEL LITORAL**

Doctor

René Mauricio Valdez

REPRESENTANTE NACIONES UNIDAS EN EL ECUADOR (E)

Quito.-

De mi consideración:

Como es de su conocimiento, la política del Gobierno del Presidente Eco. Rafael Correa, está encaminada hacia el desarrollo y reactivación del sector agro productivo, el mismo que entró en estado de emergencia debido a los fuertes estragos causados en la pasada estación invernal.

En el marco de esta emergencia, la mesa 6, de "Reactivación Agropecuaria y Productiva" del Comité de Operaciones de Emergencia (COER), presentó el Proyecto Piloto "Reactivación Social en el litoral ecuatoriano a través de la Acuicultura Rural-Artesanal", promovido por las Subsecretarías de Acuicultura y Pesca en el contexto de la emergencia, con el objetivo de reactivar el sistema productivo acuícola de las zonas afectadas por las lluvias.

Este proyecto, además, proveerá a los agricultores de las herramientas y capacitación necesarias para incursionar en el cultivo de especies acuícolas. Los cultivos no sólo servirán como fuente de empleo local, sino que aportarán con ingresos adicionales a la economía familiar, a través de la diversificación de sus actividades productivas; a la vez que sirve como alternativa viable para enfrentar las adversidades que se puedan presentar en el evento de futuras emergencias.

Dentro de los beneficios adicionales, este proyecto contribuye a la identificación de nuevas fuente de proteína de alta calidad y de bajo costo para las poblaciones rurales, como medida para enfrentar la crisis alimentaria que afecta a nivel mundial.

En base a lo anterior y en el marco de las conversaciones mantenidas con la Ing. Jeannette Fernández, solicito se apruebe el desembolso parcial dentro del proyecto que maneja el PNUD destinado a la recuperación de los medios de vida en el litoral ecuatoriano.

Con Cálidos Saludos,



Carolina Portaluppi Castro
MINISTRA DEL LITORAL (E)

c.c.: Ing. Jeannette Fernández, Programa de las Naciones Unidad para el Desarrollo

www.minlitoral.gov.ec

Av. Francisco de Orellana. Edificio Gobierno del Litoral, piso 13. Guayaquil- Ecuador.

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Annual Work Plan

Ecuador - Quito

Award Id: 00049990

Award Title: REHABILITACION DEL LITORAL LUEGO DE LAS INUNDACIONES

Year: 2008

Report Date: 23/6/2008

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget			Amount US\$
			Start	End		Fund	Donor	Budget Descr	
00061494	REHABILITACION DEL LITORAL LUE	1apoyo generacion ingresos			MINISTERIO COORDINADORLIT	26931	CIDA	71300 Local Consultants	50,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	71600 Travel	10,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	72300 Materials & Goods	15,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	72400 Communic & Audio Visual Equip	10,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	74500 Miscellaneous Expenses	25,000.00
TOTAL									110,000.00
GRAND TOTAL									110,000.00

Yvan Andrade



Annual Work Plan

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			Start	End		Fund	Donor	Budget Descr	Amount US\$	
00061494	REHABILITACION DEL LITORAL LUE	Apoyo generacion ingresos			MINISTERIO COORDINADORLIT	26931	CIDA	71300	Local Consultants	50,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	71600	Travel	10,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	72300	Materials & Goods	15,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	72400	Communic & Audio Visual Equip	10,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	74500	Miscellaneous Expenses	25,000.00
TOTAL										110,000.00
GRAND TOTAL										110,000.00



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					MINISTERIO COORDINADORLIT	26931	CIDA	72400	Communic & Audio Visual/Equip	10,000.00
					MINISTERIO COORDINADORLIT	26931	CIDA	74500	Miscellaneous Expenses	25,000.00
TOTAL										110,000.00
GRAND TOTAL										110,000.00